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INTERNET: [www.IP-Counsel.com](http://www.IP-Counsel.com)

September 24, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney Docket No.: 004-8728

Transmitted herewith for filing is a patent application as follows:

Inventor(s): David Dice, Mark S. Moir and William N. Scherer III  
Title: QUICKLY REACQUIRABLE LOCKS  
Assignee: Sun Microsystems, Inc.

Enclosed are:

- ☒ Application Data Sheet (3 page(s))
- ☒ Request for Non-Publication and Certification under 35 U.S.C. 122(b)(2)(B)(i) (1 page(s))
- 44 Pages of Written Description (including Specification, Claims and Abstract)
- 3 Sheets of Drawings, X Formal /    Informal
- ☒ Declaration for Patent Application (6 pages), X Executed /    Unexecuted
- ☒ Assignment of the Invention (7 pages, including Cover Sheet)
- ☐ Information Disclosure Statement (       pages)
  - ☐ with Form(s) PTO 1449 (       page(s)) and copies of        reference(s)
- ☒ Other: Check in the amount of \$2,248
- ☒ This Transmittal Letter (in duplicate) (2 page(s)) ☒ Return Postcard

CLAIMS AS FILED

|   | Number Filed | Number Extra | Rate        | Fee        |
|---|--------------|--------------|-------------|------------|
| Basic Fee =                                       |              |              |             | 750.00     |
| Total Claims                                      | 73 - 20      | = 53         | x \$18.00 = | 954.00     |
| Independent Claims                                | 9 - 3        | = 6          | x \$84.00 = | 504.00     |
| Multiple Dependent Claims (if any) - \$280.00 fee |              |              |             |            |
| Other: Record Assignment                          |              |              |             | 40.00      |
| TOTAL FILING FEE                                  |              |              |             | \$2,248.00 |

- ☐ Small entity status is entitled to be asserted for the application.
- ☒ A check is enclosed for the Total Filing Fee shown above.
- ☐ Please charge the Total Filing Fee shown above to Deposit Account 50-0631.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or 1.17 that may be required during the pendency of this application, and to similarly credit any overpayment, to Deposit Account 50-0631.

22386 U.S. PTO  
10/669948  
09/24/03

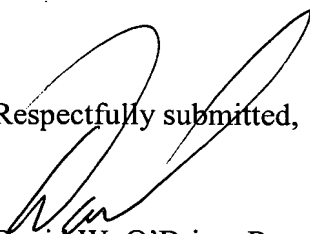
September 24, 2003

RE: 004-8728

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**EXPRESS MAIL LABEL NO.  
EV335379445US**

Respectfully submitted,

  
David W. O'Brien, Reg. No. 40,107  
Attorney for Applicant(s)  
(512) 347-9030  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David Dice, Mark S. Moir and William N. Scherer III

Title: QUICKLY REACQUIRABLE LOCKS

Application No.: (unassigned) Filed: Herewith

Examiner: (unassigned) Group Art Unit: (unassigned)

Atty. Docket No.: 004-8728

September 24, 2003

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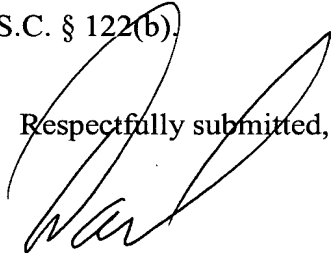
**REQUEST FOR NONPUBLICATION  
AND CERTIFICATION (35 U.S.C. § 122(b)(2)(B)(i))**

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

EXPRESS MAIL LABEL NO.:

EV335379445US

Respectfully submitted,

  
David W. O'Brien, Reg. No. 40,107  
Attorney for Applicant(s)  
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*This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.*

*Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.*

*If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).*